

Transport Capacity of Broadcast Ad-Hoc Wireless Networks



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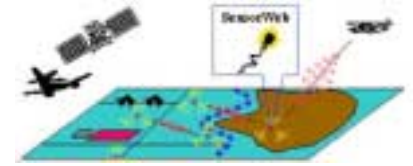
June 18, 2001

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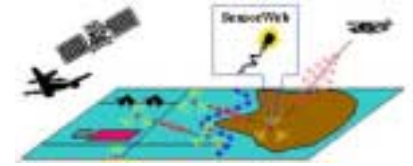
This research was supported under an ODDR&E MURI through the Army Research Office, Grant number DAAD19-00-1-0466.

MURI SensorWeb: RCA 2&3, IT-3

Outline



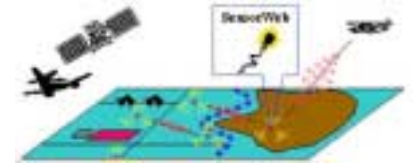
- Introduction
- “Optimal” Communication Scheme
 - Main result: TC maximizing power allocation
 - Transport Capacity of large networks
- TDMA
 - Compare with optimal Communication Scheme
- Summary/conclusions and directions for future work



Why Transport Capacity?

- Wireless ad-hoc networks are inherently thought of as located in some region of space
- Thus, instead of just asking “what is the best set of rates that can be delivered?” (Shannon capacity region) one may ask
 - How much data can be delivered per unit distance?
 - What is the most efficient way to cover an area of space or a volume of space?

Gupta and Kumar (2000); Gupta (2000)

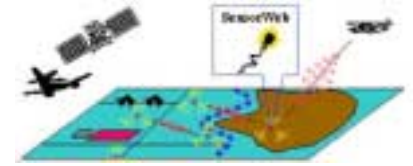


Why Broadcast?

- Simplify the problem significantly: consider a “network” where only one node is transmitting and the rest are receiving - a broadcast scenario
- Advantages
 - Shannon capacity of broadcast channels is known for “degraded broadcast channels”
 - Gaussian broadcast channel is degraded and the general expression simplifies to a nice formula

Cover ('72); Bergmans ('73); Gallager ('74)

Capacity of Gaussian Broadcast Channel

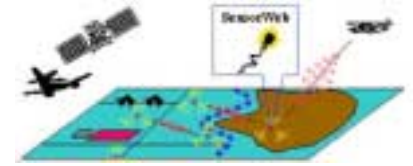


$$R_1 < C\left(\frac{\alpha_1 P}{N_1}\right)$$

...

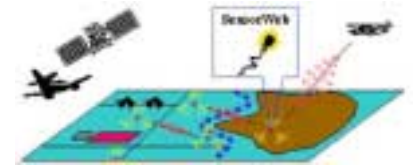
$$R_k < C\left(\frac{\alpha_k P}{N_k + \sum_{i=1}^{k-1} \alpha_i P}\right)$$

- $C(\cdot)$ - single-user Gaussian capacity function
- α_k - proportion of total power allocated to user k
- N_k - noise power at receiver k (require $N_k > N_{k-1}$)



What is Our Problem?

- Based on the distance to the receiver, assign a reward for transmitting to that receiver
- Optimize the total reward over the set of achievable rates
 - for reward functions that are linear functions of rates work on the boundary of Shannon capacity regions
- To solve the optimization problem need to know the Shannon capacity region
- The receiver configuration is fixed



Our Problem

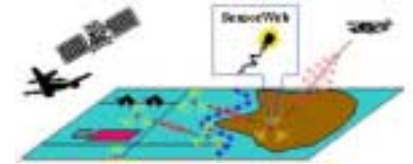
- For the Gaussian Broadcast Channel, maximize

$$TC = \sum_{k=1}^K d_k^\rho R_k$$

- where

$$0 < d_1 < \dots < d_k < \dots < d_K$$

- **note:** no generality is lost in requiring strict inequality since for our purposes 2 equidistant receivers are equivalent to a single receiver to which we allocated the sum of the two rates



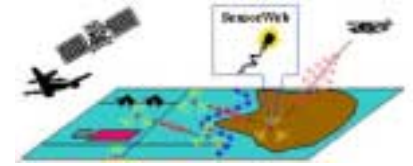
Our Problem

- Optimization variable: tradeoff vector \mathbf{a}

$$\mathbf{a} = [\alpha_1, \dots, \alpha_k, \dots, \alpha_K]$$

- subject to

$$\alpha_k \geq 0 \quad \forall k \quad \text{and} \quad \sum_{k=1}^K \alpha_k = 1$$



Our Problem

- Also use: cumulative tradeoff vector \mathbf{b}

$$\mathbf{b} = [\beta_1, \dots, \beta_k, \dots, \beta_{K-1}] \quad \text{where} \quad \beta_k = \sum_{i=1}^k \alpha_i$$

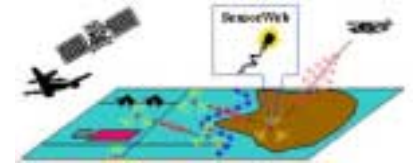
- subject to

$$\beta_k \geq 0 \quad \forall k$$

$$\beta_k \leq 1 \quad \forall k$$

$$\beta_k \leq \beta_{k+1} \quad k = 1, \dots, K-1$$

- and $\beta_K \equiv 1$ (note: β_K is not a variable)



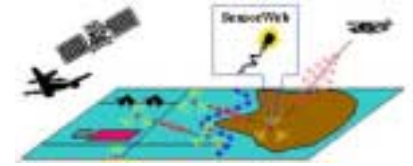
Our Problem

- Subject to
 - “optimal” communication scheme: operate at the boundary of the capacity region

$$R_k = C \left(\frac{\alpha_k P}{N_k + \sum_{i=1}^{k-1} \alpha_i P} \right)$$

- TDMA: time sharing

$$R_k = \alpha_k C \left(\frac{P}{N_k} \right)$$

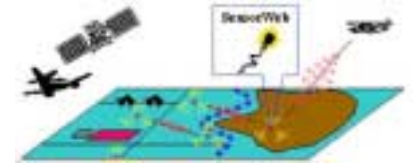


Power Law Channel

- Actual channel
 - Signal power depends only on the distance to the transmitter
 - Signal power decays as γ power of the distance; noise power constant
- Channel model
 - Signal power remains constant (P); noise power increases as γ power of the distance

$$N_k = Nd_k^\gamma$$

- This makes the channel a degraded broadcast channel

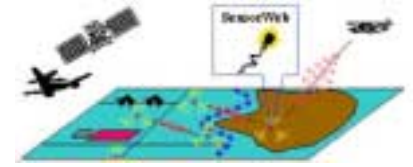


Optimal Communication Scheme

- Note that the rate constraint can be re-written as

$$R_k = \frac{1}{2} \log_2 \left(\frac{\frac{N}{P} d_k^\gamma + \beta_k}{\frac{N}{P} d_k^\gamma + \beta_{k-1}} \right)$$

- Approach: work with this form of the constraint and solve a much more general problem



Optimal Communication Scheme

- Maximize

$$TC = \sum_{k=1}^K r(d_k) R_k$$

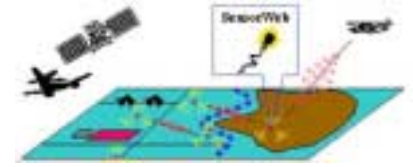
- where

$$R_k = \frac{1}{2} \log_2 \left(\frac{s(d_k) + \beta_k}{s(d_k) + \beta_{k-1}} \right)$$

- variable of optimization:

$$\mathbf{b} = [\beta_1, \dots, \beta_k, \dots, \beta_{K-1}]$$

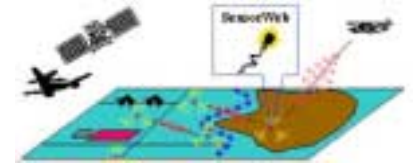
as defined before



Optimal Communication Scheme

- Assumptions:
 - Reward function (r)
 - notation: $r_k = r(d_k)$
 - strictly increasing: $r_k > r_{k-1}$
 - Noise-to-signal ratio (NSR) function (s)
 - also called the “penalty” function
 - notation: $s_k = s(d_k)$
 - strictly increasing: $s_k > s_{k-1}$

- $$\frac{s_{k+1} - s_k}{s_k - s_{k-1}} > \frac{r_{k+1} - r_k}{r_k - r_{k-1}} \quad (*)$$



Optimal Communication Scheme

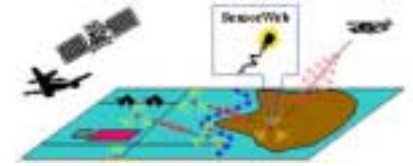
- Sufficient condition for (*) to hold for all choices of distances. If $s()$ and $r()$ are differentiable everywhere on $(0, \infty)$, then (*) holds for all choices of distances if and only

$$\frac{r'(x)}{s'(x)}$$

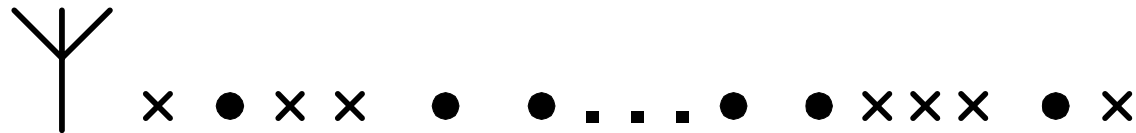
is strictly monotonically decreasing

- Intuition: channel penalty has to grow at a faster rate than the reward given for transmission to a certain distance

Optimal Communication Scheme: Main Result

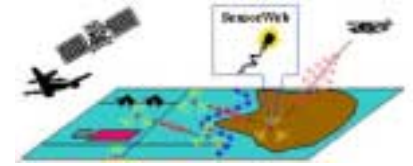


Transmit to a “block” of receiver, plus at most one more receiver in front of the block and at most one more receiver behind the block



Proof Strategy:

- Guess the solution
- Show that any other configuration can be improved on



Optimal Communication Scheme

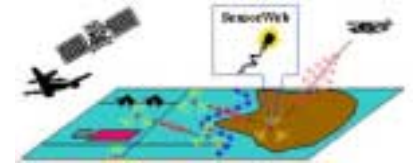
- To arrive at the guess: solve the unconstrained problem
- Solution:

$$\beta_k^* = \frac{s_{k+1}r_k - s_k r_{k+1}}{r_{k+1} - r_k}$$

- "Optimal unconstrained rates" are

$$R_k^* = \frac{1}{2} \log_2 \left(\frac{r_k - r_{k-1}}{r_{k+1} - r_k} \frac{s_{k+1} - s_k}{s_k - s_{k-1}} \right)$$

- NOTE: $R_k^* > 0 \Leftrightarrow \beta_{k+1}^* > \beta_k^* \Leftrightarrow (*)$

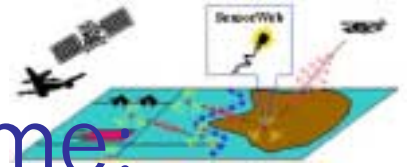


Optimal Communication Scheme

- Unconstrained solution does not satisfy the constraint $\beta_k \geq 0$ or $\beta_k \leq 1$.
- Ad-hoc strategy: transmit only to receivers whose unconstrained optimal β is in $[0,1]$ if there is some leftover power, find something good to do with it
- The actual optimal strategy (our guess) is almost this ad-hoc strategy

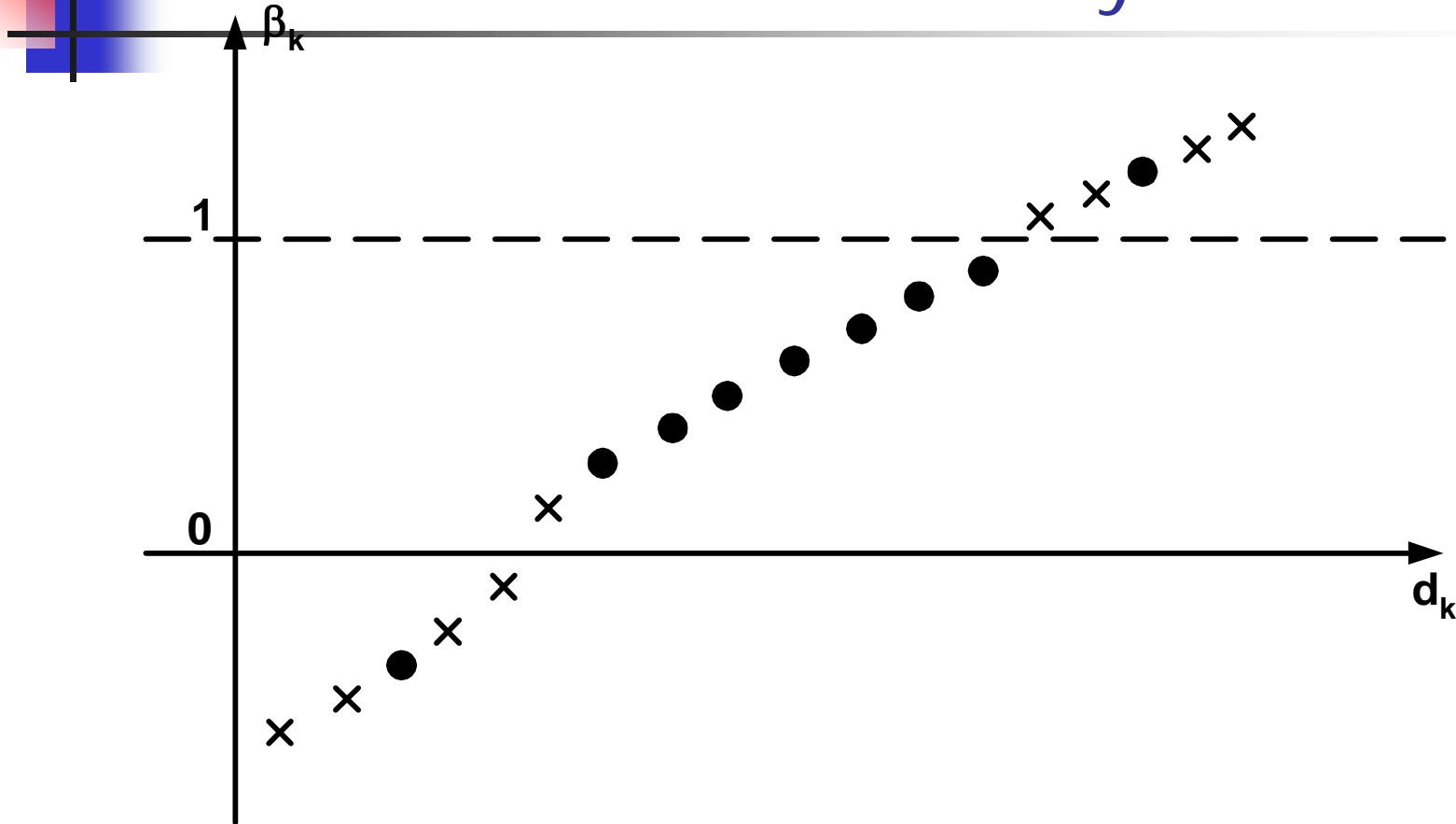
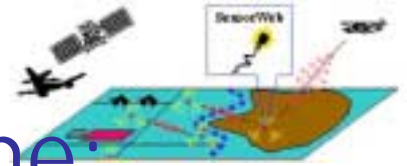
Optimal Communication Scheme.

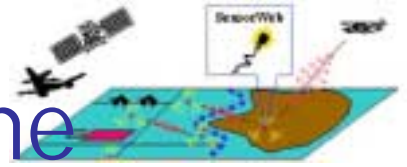
Main Result More Precisely



- Transport Capacity optimizing communication strategy
 - transmit to all receivers whose optimal unconstrained β is in $[0,1]$ - except the very first one
 - transmit to at most one additional receiver in front of the "main block"
 - transmit to at most one additional receiver behind the "main block"

Optimal Communication Scheme: Main Result More Precisely





Optimal Communication Scheme

Power Law Channel

- (*) if and only if $\gamma > \rho$
- Optimal unconstrained β 's are given by

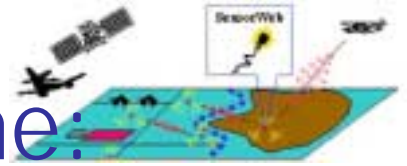
$$\beta_k^* = \frac{N}{P} \frac{d_{k+1}^\rho d_k^\rho (d_{k+1}^{\gamma-\rho} - d_k^{\gamma-\rho})}{d_{k+1}^\rho - d_k^\rho}$$

- The corresponding rates are given by

$$R_k = \frac{1}{2} \log_2 \left(\frac{d_k^\rho - d_{k-1}^\rho}{d_{k+1}^\rho - d_k^\rho} \frac{d_{k+1}^\gamma - d_k^\gamma}{d_k^\gamma - d_{k-1}^\gamma} \right)$$

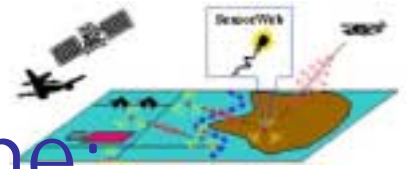
- Note that a "middle" receiver's rate depends only on its and its direct neighbors distance to the transmitter

Optimal Communication Scheme:

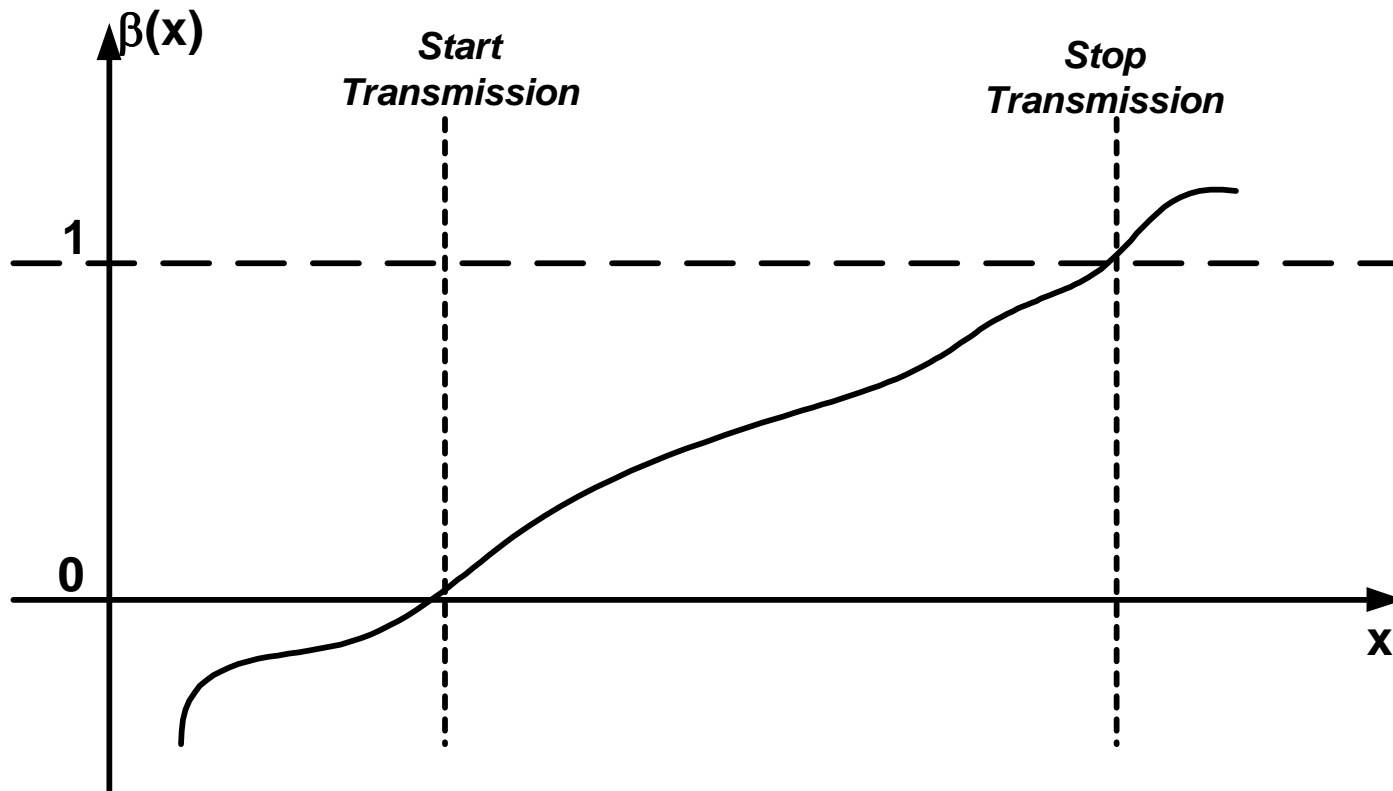


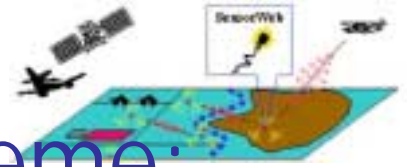
Large Networks

- Introduce a density of receivers
 - this needs to be done very carefully, since we are extending an inherently discrete concept
 - we use $p(x) = 1$ (i.e. one receiver in every Δx slice of the distance axis)
- Edge effects disappear
- Determine a “cumulative tradeoff function” $\beta(x)$
- Transmit to all receiver for x such that $\beta(x) \in [0, 1]$
- The derivative of $\beta(x)$ - the “tradeoff function” $\alpha(x)$ - represents a power allocation strategy



Optimal Communication Scheme: Large Networks





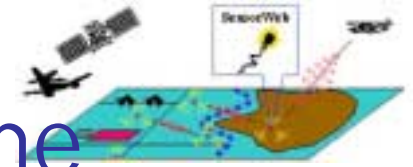
Optimal Communication Scheme: Large Networks

- $\beta(x)$ and $\alpha(x)$ do not depend on the density (as long as it has no holes)

$$\beta(x) = \frac{N}{P} \frac{\gamma - \rho}{\rho} x^\gamma \quad \alpha(x) = \frac{N}{P} \gamma \frac{\gamma - \rho}{\rho} x^{\gamma-1}$$

- Note that for $\gamma > 1$, $\alpha(x)$ is an increasing function of x - thus the transport-capacity-optimizing power allocation scheme for such channels is to keep allocating more power to the receivers farther out until the power budget has been exhausted.

Optimal Communication Scheme Large Networks



- Transport capacity is then

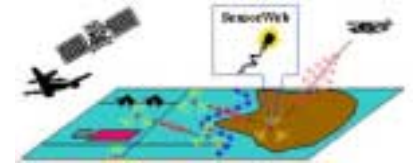
$$TC_{diffuse} = \frac{1}{2 \ln 2} (\gamma - \rho) \int_0^{d^{uc}} x^{\rho-1} p(x) dx$$

- d^{uc} is the value of x at which $\beta(x) = 1$

$$d^{uc} = \gamma \sqrt{\frac{P}{N} \frac{\rho}{\gamma - \rho}}$$

- In the special case when $p(x)=1$ and $\rho=1$, we get

$$TC_{diffuse} = \frac{\gamma - 1}{2 \ln 2} \gamma \sqrt{\frac{P}{N} \frac{1}{\gamma - 1}}$$



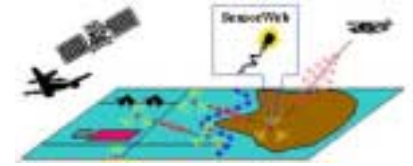
TDMA

- Problem: maximize

$$TC^{TDMA} = \sum_{k=1}^K \alpha_k d_k^\rho C\left(\frac{P}{Nd_k^\gamma}\right)$$

- subject to

$$\sum_{k=1}^K \alpha_k = 1$$



TDMA

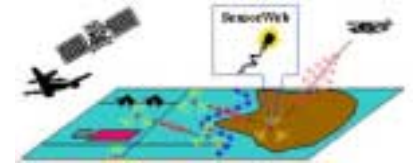
- Solution: transmit exclusively to the receiver whose distance maximizes

$$d_k^\rho C\left(\frac{P}{Nd_k^\gamma}\right)$$

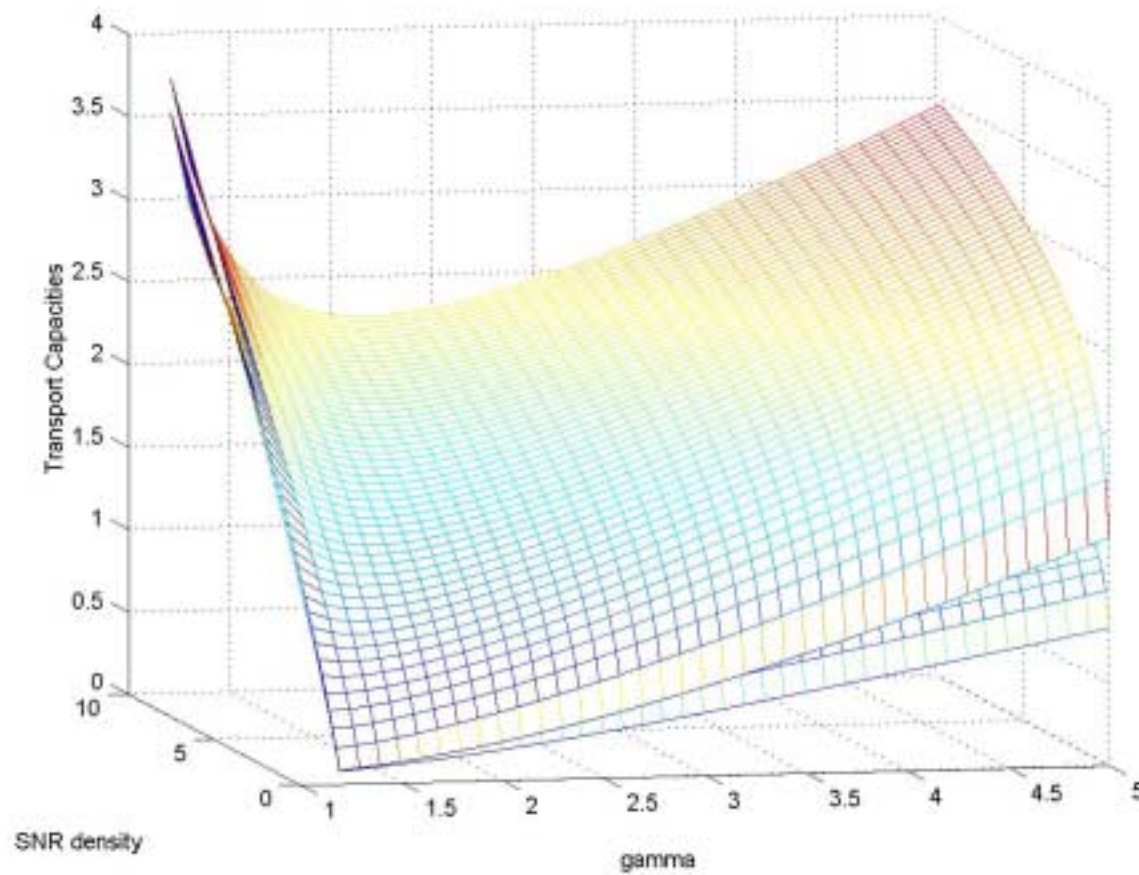
- Note: the “large network” solution is still to transmit to a single receiver with the optimal transport capacity given by

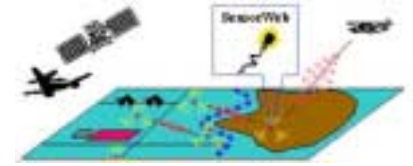
$$TC_{diffuse}^{TDMA} = \max_{d>0} \left(d^\rho C\left(\frac{P}{Nd^\gamma}\right) \right)$$

- Note that the density $p(x)$ does not affect the “large network” solution



Optimal vs. TDMA



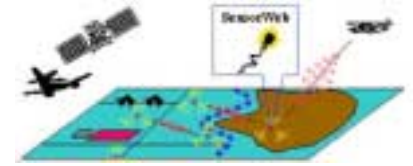


Power Law Model Problem

- Optimal transport capacity gets better as channel gets worse (as $\gamma \rightarrow \infty$)
- Due to a problem with the model
 - as $d \rightarrow 0$, $\text{SNR} \rightarrow \infty$
 - the rate at which this happens increases as $\gamma \rightarrow \infty$
- Solution: change the model

$$\text{SNR}(d) = \frac{P}{Nd^\gamma + \tilde{N}}$$

- TDMA: little change - still transmit to a single receiver
- Optimal: need to redo the work



Modified Power Law Channel

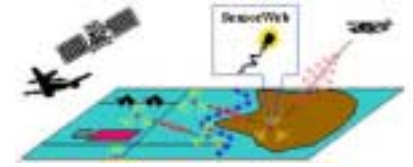
- Optimal Unconstrained β 's are

$$\beta_k^* = \frac{N}{P} \frac{d_{k+1}^\rho d_k^\rho (d_{k+1}^{\gamma-\rho} - d_k^{\gamma-\rho})}{d_{k+1}^\rho - d_k^\rho} - \frac{\tilde{N}}{P}$$

- Rates for “middle” receivers same as before

$$R_k = \frac{1}{2} \log_2 \left(\frac{d_k^\rho - d_{k-1}^\rho}{d_{k+1}^\rho - d_k^\rho} \frac{d_{k+1}^\gamma - d_k^\gamma}{d_k^\gamma - d_{k-1}^\gamma} \right)$$

Modified Power Law Channel: Large networks



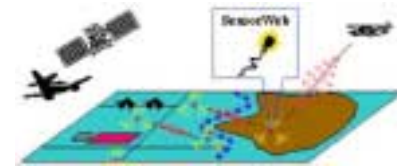
- Because the “middle” rates are the same - TC integral has the same integrand, different limits ($\beta(x)$ is different)

$$TC_{diffuse} = \frac{1}{2 \ln 2} (\gamma - \rho) \int_{d^{lc}}^{d^{uc}} x^{\rho-1} p(x) dx$$

- where

$$d^{uc} = \sqrt[\gamma]{\left(1 + \frac{\tilde{N}}{P}\right) \frac{P}{N} \frac{\rho}{\gamma - \rho}} \quad d^{lc} = \sqrt[\gamma]{\frac{\tilde{N}}{P} \frac{P}{N} \frac{\rho}{\gamma - \rho}}$$

Modified Power Law Channel: Large networks



- Now, for $p(x) = 1$ and $\rho=1$, we get

$$TC_{diffuse} = \frac{\gamma-1}{2\ln 2} \left(\sqrt[\gamma]{\left(1 + \frac{\tilde{N}}{P}\right)} - \sqrt[\gamma]{\frac{\tilde{N}}{P}} \right) \sqrt[\gamma]{\frac{P}{N} \frac{1}{\gamma-1}}$$

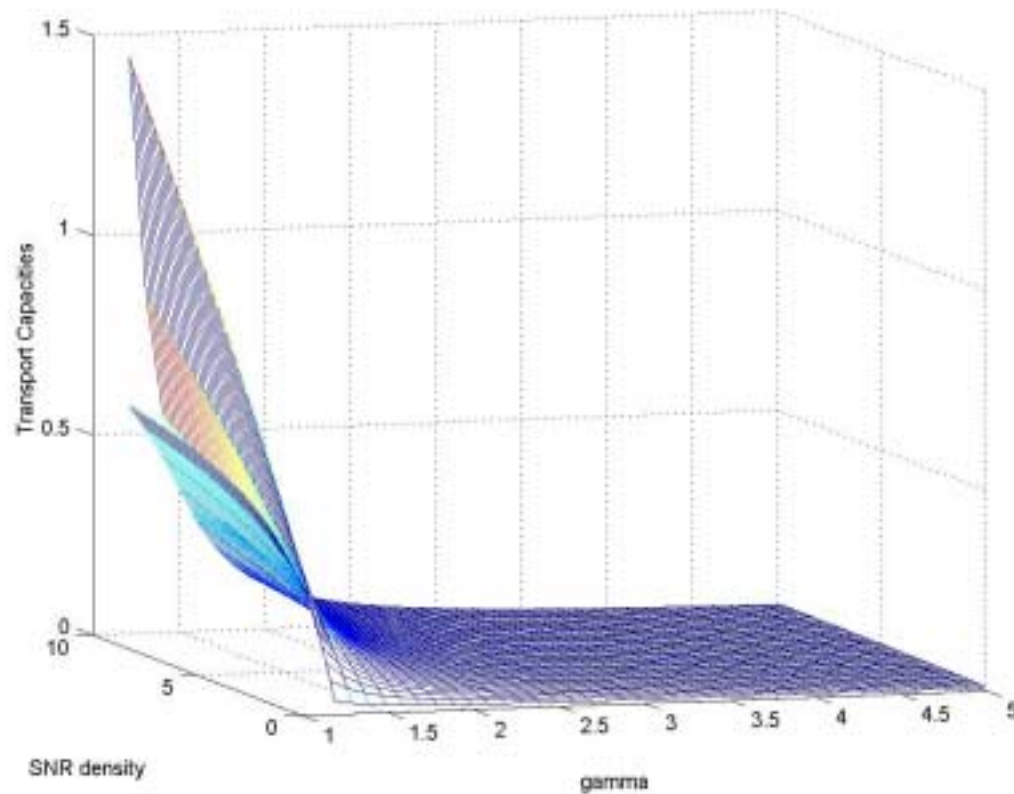
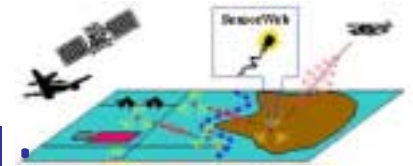
- which, as $\gamma \rightarrow \infty$, converges to

$$\lim_{\gamma \rightarrow \infty} TC_{diffuse} = \frac{1}{2} \log_2 \left(1 + \frac{P}{\tilde{N}} \right) = C \left(\frac{P}{\tilde{N}} \right)$$

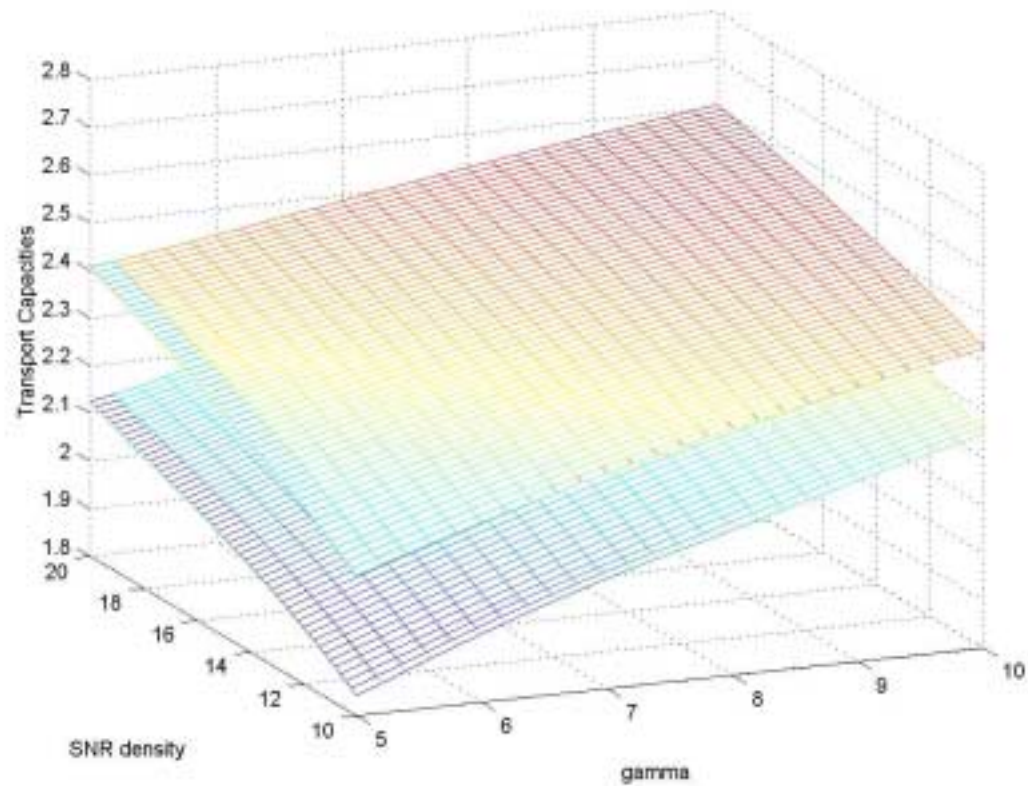
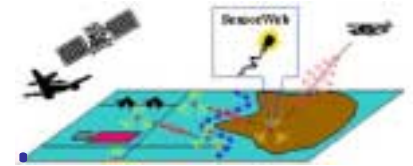
- and

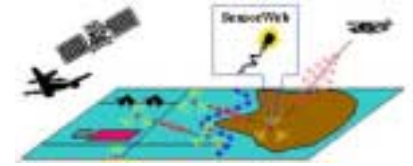
$$\lim_{\gamma \rightarrow \infty} TC_{diffuse} = \lim_{\gamma \rightarrow \infty} TC_{diffuse}^{TDMA}$$

Modified Power Law Channel: Optimal vs. TDMA



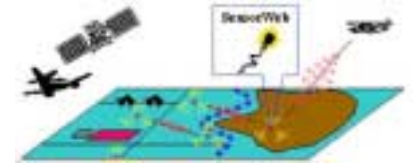
Modified Power Law Channel: Optimal vs. TDMA





Summary and Conclusions

- For a broadcast Gaussian network, we established the transport-capacity maximizing communication strategy for a large set of reward and channel penalty functions
- We investigated the transport capacity of a large network for a power law channel and a modified power law channel for TDMA and optimal communication scheme



Directions for Future Work

- Similar work may be performed for other “pieces” of an ad-hoc network (e.g. multiple access channel) and other underlying channels. Feasibility greatly depends on how well the structure of the capacity region lends itself to analytical exploration
- More fundamentally, the Shannon capacity of a multiple-transmitter / multiple receiver network needs to be explored